

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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P.O. Box 1450,
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03945 U.S.PTO
10/674699



S I R:

Transmitted herewith for filing are the specification and claims of
the patent application of

Michiaki SHINOTSUKA
Inventor

for

PHASE-CHANGE OPTICAL INFORMATION RECORDING MEDIUM
AND INFORMATION RECORDING AND READING
METHOD USING THE RECORDING MEDIUM
Title

Enclosed are:

xx 1 sheet of informal xx formal drawings.

xx Oath or declaration of Applicant (photocopy).

xx A power of attorney (photocopy).

 An assignment of the invention to .

xx A Preliminary Amendment.

 A verified statement to establish small entity status under
37 C.F.R. § 1.9 and § 1.27.

The filing fee is calculated as follows:

| <u>CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT</u> | | | | | Rate | | Fee | |
|--|--------------|-------|---------------|---|-----------------|-------|-----------------|--------|
| | No. Filed | | No. Extra* | | Small Entity | Other | Small Entity | Other |
| Total Claims | 1 | -20 = | 0 | x | \$ 9 | \$18 | = \$ -0- | \$ -0- |
| Indep. Claims | 1 | - 3 = | 0 | x | \$42 | \$84 | = \$ -0- | \$ -0- |
| Multiple Dependent claims presented: Yes <u> </u> No <u>xx</u> | | | | | \$140 | \$280 | - \$ -0- | \$ -0- |
| Fee | | | | | | | \$ -0- | \$ 750 |
| Total Fee | | | | | | | \$ | \$ 750 |

/*If the difference in col. 1 is less than zero, enter "0" in col. 2

xx A check in the amount of \$ 750.00 to cover the filing fee.

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xx The Commissioner is hereby authorized to charge any additional
fees which may be required in connection with the following or
credit any overpayment to Account No. 03-3125.

xx Filing fees under 37 C.F.R. § 1.16.

xx Patent application processing fees under 35 U.S.C. § 1.17.

xx Three copies of this sheet are enclosed.

xx Applicant hereby claims priority under 35 U.S.C. § 119 based on
previously filed foreign application No. 2000-388904, filed in
Japan on December 21, 2000.

xx A certified copy of the aforementioned foreign appli-
cation has been filed in prior application Serial No.
10/029,100, filed on December 21, 2001.

xx Other (identify) Information Disclosure Statement.

Respectfully submitted,

Christopher C. Dunham

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Dated: September 29, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michiko SHINOTSUKA
Serial No.: not yet assigned
Filed : currently herewith
For : PHASE-CHANGE OPTICAL INFORMATION RECORDING MEDIUM
AND INFORMATION RECORDING AND READING METHOD USING
THE RECORDING MEDIUM

EXPRESS MAIL LETTER OF TRANSMITTAL

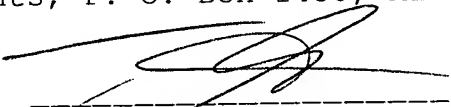
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September 29, 2003

Commissioner for Patents

P. O. Box 1450,
Alexandria, VA 22313-1450

S I R:

I hereby certify that the above-identified continuation Patent application (consisting of **23** pages, including **14** claims and an Abstract; **1** sheet of formal drawings; executed Declaration (photocopy), Preliminary Amendment, Information Disclosure Statement; transmittal form with Claim of Priority + fee check for **\$750**) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below, and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



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Date of Deposit: SEPTEMBER 29, 2003

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